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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/042,324	01/11/2002	Yoshiki Ishii	03560.002979	4439

5514 7590 09/13/2006

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EXAMINER

DANG, HUNG Q

ART UNIT PAPER NUMBER

2633

DATE MAILED: 09/13/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

10/042,324

Applicant(s)

ISHII, YOSHIKI

Examiner

Hung Q. Dang

Art Unit

2633

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 11 January 2002.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-50 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-50 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 11 January 2002 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

## DETAILED ACTION

### *Claim Rejections - 35 USC § 102*

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 1-6, 9-24, 27-35, and 38-50 are rejected under 35 U.S.C. 102(e) as being anticipated by Sakai et al. (US Patent 6,658,196).

Claims 1 and 31 recite an apparatus and a method for processing information data and playback description data, comprising: (1) modified information data processing means and step for newly generating modified information data by using part of the information data; (2) description data processing means and step for modifying the playback procedure and modifying the content of the playback description data; wherein (3) the playback description data comprises playback time information relating the playback time of the information data; and (4) the description data processing means modifies the playback time information in accordance with the modified playback procedure;

Sakai et al. anticipate an editing apparatus and a method for editing video signals recorded on an optical disk, comprising: (1) modified information data processing means or step for newly generating modified information data by using part of the

information data ("data expansion circuit", "effector", "data compression circuit" in Fig. 1, column 9, lines 36-50; column 14, lines 34-40, 55-61); (2) description data processing means or step for modifying the playback procedure and modifying the content of the playback description data ("system control circuit" in Fig. 1; column 9, lines 56-67); wherein (3) the playback description data comprises playback time information relating the playback time of the information data (column 12, lines 18-26); and (4) the description data processing means modifies the playback time information in accordance with the modified playback procedure (column 9, lines 56-67);

Claims 11 and 40 recite an apparatus and a method for processing an information file having information data and playback description data indicating a playback procedure of the information file, comprising: (1) modified information data processing means and step for newly generating modified information data by using part of the information data to obtain a modified information file having the modified information data; and (2) description data processing means and step for modifying the playback procedure such that the modified information file is played back instead of the part of the information data in the information file, and modifying the content of the playback description data in accordance with the modified playback procedure.

Sakai et al. anticipate an apparatus and a method for processing an information file having information data and playback description data indicating a playback procedure of the information file, comprising: (1) modified information data processing means and step for newly generating modified information data by using part of the information data to obtain a modified information file having the modified information

data ("data expansion circuit", "effector", "data compression circuit" in Fig. 1, column 9, lines 36-55; column 14, lines 34-40, 55-61); and (2) description data processing means and step for modifying the playback procedure such that the modified information file is played back instead of the part of the information data in the information file, and modifying the content of the playback description data in accordance with the modified playback procedure ("system control circuit" in Fig. 1; column 9, lines 56-67).

Claims 15 and 43 recite an apparatus and a method for processing playback description data containing an information data object designating a playback operation of information data and indicating a playback procedure of the information data, comprising: (1) instruction means and step for modifying the playback procedure such that modified information data generated by using part of the information data is played back instead of the part of the information data; and (2) description data processing means and step for adding, in accordance with the modified playback procedure, a modified information data object designating a playback operation of the modified information data to the playback description data, and for modifying playback time information for the information data included in the information data object.

Sakai et al. recites an apparatus and a method for processing playback description data containing items designating cuts of transition periods (column 9, lines 59-62), which are information data object designating a playback operation of information data and indicating a playback procedure of the information data, comprising: (1) instruction means and step for modifying the playback procedure such that modified information data generated by using part of the information data is played

back instead of the part of the information data ("system control circuit" in column 10, lines 38-48); and (2) description data processing means and step for adding, in accordance with the modified playback procedure, a modified information data object designating a playback operation of the modified information data to the playback description data, and for modifying playback time information for the information data included in the information data object ("system control circuit" in column 9, lines 56-67).

Claims 2, 12, 16, 32, 41, and 44 recite the playback time information indicating a playback start time or end time of the information data; and the description data processing means modifying the playback start time and end time in accordance with the playback time of the playback time of the modified information data.

Sakai et al. anticipate the playback time information indicating a playback start time or end time of the information data as in-points and out-points time codes (column 3, lines 18-21; column 7, lines 63-67; column 8, lines 1-13; column 12, lines 18-26); and the description data processing means modifying the playback start time and end time in accordance with the playback time of the playback time of the modified information data (column 7, lines 63-67; column 8, lines 1-13; column 12, lines 18-26).

Claims 3, 13, 17, 33, 42, and 45 recite the description data processing means generating restoration time information indicating an amount of modification of the playback start time or end time, and adding the restoration time information to the playback description data.

Sakai et al. anticipate the description data processing means generating restoration time information indicating an amount of modification of the playback start

time or end time using the transition duration or period, and adding the restoration time information to the playback description data (column 9, lines 56-67).

Claims 4, 5, 34, and 35 recite the description data processing means generating restoration information for restoring the playback procedure (column 9, lines 56-67) such that the part of the information data is played back instead of the modified information data (column 14, lines 34-46), and adding the restoration information to the playback description data, and again modifying the content of the playback description data by using the restoration information when the playback procedure is restored (column 9, lines 56-67).

Claim 6 recites a recording means for recording the modified information data and the playback description data whose content has been modified in a recording medium in which the information data is recorded.

Claim 14 recites a recording means for recording the modified information file and the playback description file whose content has been modified in a recording medium in which the information file is recorded.

Sakai et al. anticipate a recording means for recording the modified information data or the modified information file (column 9, lines 44-51) and the playback description data or the modified information file whose content has been modified in a recording medium in which the information data or the information file is recorded (column 10, lines 49-52).

Claims 9 and 38 recite the information data to include encoded moving image data, and the modified information data processing means comprising decoding means

for decoding the moving image data, combining processing means for performing a combining processing on a plurality of items of moving image data decoded by the decoding means, and an encoding means for encoding moving image data obtained by the combining processing to thereby generate the modified information data.

Sakai et al. anticipate the information data to include encoded moving image data (column 9, lines 9-16), and the modified information data processing means comprising decoding means for decoding the moving image data ("channel decoding circuit 20" in Fig. 1), combining processing means for performing a combining processing ("system control circuit 15" and "effector 8" in Fig. 1; column 9, lines 36-43) on a plurality of items of moving image data decoded by the decoding means, and an encoding means for encoding moving image data obtained by the combining processing to thereby generate the modified information data ("compression circuit 7" in Fig. 1; column 9, lines 44-49).

Claims 10, 18, 39, and 46 recite the modified information data processing means and step to perform a modification processing or information processing is performed or provided on the part of the information data, and the description data processing means further generating, in accordance with the modified playback procedure, section information or adding modified information data object section information and indicating a section of the modified information data where the modification processing has been performed or provided and adds the section information to the playback description data.



Sakai et al. anticipate the modified information data processing means and step to perform a modification processing on the part of the information data (column 8, lines 46-49) , and the description data processing means further generating, in accordance with the modified playback procedure, section information or modified information data object section information indicating a section of the modified information data where the modification processing has been performed or provided and adds the section information to the playback description data (column 8, lines 56-60).

Claims 19 and 47 recite the description data processing means and step that adds modified information data ID information for identifying the modified information data to the modified information data object.

Sakai et al. anticipates the description data processing means and step to add a transition mode, which is the modified information data ID information, for identifying the modified information data to the modified information data object (column 9, lines 59-62).

Claims 20 and 48 recite the description data processing means and step to add information indicating the type of modification processing performed on the modified information data as an attribute of the modified information data ID information.

Sakai et al. anticipate the description data processing means adding information indicating the type of modification processing performed on the modified information data as an attribute of the modified information data ID information (column 6, lines 37-39; column 9, lines 59-62).

Claims 21 and 49 recite a recording apparatus and a data processing method comprising: (1) description data generation means for, and a step of, generating playback description data indicating a playback procedure of a plurality of items of information data and modified information data which is obtained by performing a modification processing on the information data; (2) section information generation means, and a step of, for generating section information indicating a section of the modified information data where the modification processing has been performed; and (3) a recording means for recording the playback description data and the section information in a recording medium and an output processing step of outputting the playback description data and the selection information to the recording means.

Sakai et al. anticipate a recording apparatus and a data processing method, comprising: (1) description data generation means for, and a step of, generating playback description data indicating a playback procedure of a plurality of items of information data and modified information data which is obtained by performing a modification processing on the information data (column 9, lines 56-67); (2) section information generation means for, and a step of, generating section information indicating a section of the modified information data where the modification processing has been performed (column 8, lines 45-49 and 56-60); and (3) a recording means for recording the playback description data and the section information in a recording medium and an output processing step of outputting the playback description data and the selection information to the recording means (column 10, lines 49-52).

Claim 22 recites the section information being recorded by being incorporated into the playback description data, which is anticipated by Sakai et al. (column 9, lines 56-67; column 10, lines 49-52).

Claim 23 recites the information data to include image data, the modification processing to include a special effect processing on the image data, and the section information to include a start time or end time of the special effect processing within the modified information data.

Sakai et al. anticipate the information data to include video data, which is image data (column 6, lines 30-31), the modification processing to include a special effect processing on the image data (column 6, lines 31-38), and the section information to include a start time or end time of the special effect processing within the modified information data (column 8, lines 56-60).

Claim 24 recites the information data to include image data, the modification processing to include a combining processing for combining the image data and other data, and the section information to include a start time or end time of the combining processing within the modified information data.

Sakai et al. anticipate the information data to include image data (column 6, lines 30-31), the modification processing to include a combining processing for combining the image data and other data (column 9, lines 36-39), and the section information to include a start time or end time of the combining processing within the modified information data (column 8, lines 56-60).

Claim 27 recites a display control means for displaying in a display unit, based on the section information, an image representing the section of the modified information data where the modification processing has been performed.

Sakai et al. anticipate a display control means for displaying in a display unit, based on the section information, an image representing the section of the modified information data where the modification processing has been performed ("video process circuit 26" in Fig. 1; column 13, lines 19-27).

Claims 28, 29, and 50 recite an apparatus and a method for processing or for use in a system for playing back a plurality of items of information data and modified information data which is generated by using the information data as a material, in accordance with playback description data indicating a playback procedure for the plurality of items of information data and the modified information data, the apparatus comprising: (1) generation means, or a step of, for generating section information indicating a section in the modified information data in which information data different from the material information data are included; and (2) a recording means for recording in a recording medium the section information ( and together with the playback description data) generated by the generation means and an output processing step of outputting to a recording means the section information.

Sakai et al. anticipate an apparatus and a method for use in a system for playing back and processing a plurality of items of information data and modified information data which is generated by using the information data as a material, in accordance with playback description data indicating a playback procedure for the plurality of items of

information data and the modified information data, (column 9, lines 56-67; column 14, lines 64-66), the apparatus comprising: (1) generation means for, and a step of, generating section information indicating a section in the modified information data in which information data different from the material information data are included (column 8, lines 56-60; column 9, lines 56-67); and (2) a recording means for, and a step of, recording in a recording medium the section information together with the editing list, which is the playback description data, generated by the generation means and an output processing step of outputting to a recording means the section information (column 10, lines 49-52).

Claim 30 recites an apparatus for processing playback description data including an information file having information data and an information data object designating a playback operation of the information data, the playback description data further indicating a playback procedure of the information data, the apparatus comprising: (1) modified information data processing means for newly generating modified information data by using part of the information data and obtaining a modified information file having the modified information data; (2) instruction means for modifying the playback procedure such that the modified information data is played back instead of the part of the information data; (3) description data processing means for adding to the playback description data a modified information data object including section information indicating a section of the modified information data where the modification processing is provided, the modified information data object further designating a playback operation of the modified information data, wherein the description data processing

means further modifies playback time information of the information data contained in the information data object; and (4) recording means for recording in a recording medium the modified information file and the playback description data outputted from the description data processing means.

Sakai et al. anticipate an apparatus for processing playback description data including an information file having information data (column 3, lines 18-20) and an information data object designating a playback operation of the information data (column 9, lines 60-62), the playback description data further indicating a playback procedure of the information data (column 9, lines 56-67), the apparatus comprising: (1) modified information data processing means for newly generating modified information data by using part of the information data and obtaining a modified information file having the modified information data ("effector 8", "compression circuit 5" in Fig.1; column 9, lines 35-51); (2) instruction means for modifying the playback procedure such that the modified information data is played back instead of the part of the information data ("system control circuit 15" in Fig. 1; column 9, lines 56-67); (3) description data processing means for adding to the playback description data a modified information data object including section information indicating a section of the modified information data where the modification processing is provided, the modified information data object further designating a playback operation of the modified information data, wherein the description data processing means further modifies playback time information of the information data contained in the information data object ("system control circuit 15" in Fig. 1; column 9, lines 56-67); and (4) recording means for recording in a recording

medium the modified information file and the playback description data outputted from the description data processing means ("system control circuit 15" in Fig. 1; column 10, lines 49-52).

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claim 7, 25, and 36 are rejected under 35 U.S.C. 103(a) as being unpatentable over Sakai et al. (US Patent 6,658,196) as applied to claims 1-6, 9-24, 27-35, and 38-50 above, and further in view of Ferster (US Patent 5,559,562).

Claim 7 and 36 recite the information data comprising encoded moving image data, and the description data processing means modifying the content of the playback description data such that a playback start position or playback end position of the moving image data after the modification of the playback procedure corresponds to a boundary between units of encoding in the moving image data.

Claim 25 recites the information data being encoded, the modified information data being generated by using the encoding units as processing units, and the section where the modification processing is performed being determined independently of the processing units.

See the teachings of Sakai et al. above.

Furthermore, Sakai et al. teach the information data being encoded (column 8, lines 63-65), and the section where the modification processing is performed being determined independently of processing units (column 8, lines 56-60).

Sakai et al. do not teach the playback start and end positions corresponding to a boundary between units of encoding.

Sakai et al. do not teach the modified information data being generated by using the encoding units as processing units.

Ferster teaches that editing positions being at boundary of encoding units (column 3, lines 43-51).

Ferster teaches that the modified information data being generated by using the encoding units as processing units (column 3, lines 43-47).

One of ordinary skill in the art at the time the invention was made would have been motivated to incorporate the teachings of Ferster regarding to editing positions being at boundary of encoding units into the editing system taught by Sakai et al. because doing such would make editing much easier since, according to Ferster, who uses MPEG-2 encoding for illustration, editing can only occur at key frame, which marks the boundary of encoding units, in accordance with corresponding encoding scheme (column 3, lines 1-3).

Therefore the invention as a whole would have been prima facie obvious to one of ordinary skill in the art at the time the invention was made, absent of unexpected results to the contrary.



Claim 8, 26, and 37 are rejected under 35 U.S.C. 103(a) as being unpatentable over Sakai et al. (US Patent 6,658,196) as applied to claims 1-6, 9-24, 27-35, and 38-50 above, and further in view of Kajimoto (US Patent 5,974,220).

Claims 8 and 37 recite the information data being recorded in a recording medium, and the description data processing means modifying the content of the playback description data such that a playback start position or playback end position of the information data after the modification of the playback procedure correspond to units of access on the recording medium.

Claim 26 recites the modified information data is generated by using the access units of a recording medium in which the information data is recorded, and the section where the modification processing is performed is determined independently of the processing units.

See the teachings of Sakai et al. above, including the information data being recorded in a recording medium (column 9, lines 44-51) and the description data processing means modifying the content of the playback description data (column 9, lines 54-67).

Furthermore, Sakai et al. teach the modified information data is generated (column 9, lines 36-50) and the section where the modification processing is performed being determined independently of processing units (column 8, lines 56-60).

Sakai et al. do not teach the playback start and end positions corresponding to units of access on the recording medium.

Sakai et al. do not teach the modified information data to be generated by using the access units of a recording medium.

Kajimoto teaches a method of editing video information, which uses an editing information where editing time positions, which are playback start and end position, correspond to units of access on the recording medium (column 16, lines 37-50).

One of ordinary skill in the art at the time the invention was made would have been motivated to incorporate the features of the editing apparatus including the concept of editing time positions, which are playback start and end position, correspond to units of access on the recording medium taught by Kajimoto to the editing system taught by Sakai et al. because, so doing, helps elimination of the problem of playback discontinuity demonstrated by prior art (column 10, lines 29-31; column 18, lines 53-56; column 19, lines 51-55).


Any inquiry concerning this communication or earlier communications from the examiner should be directed to Hung Q. Dang whose telephone number is 571-270-1116. The examiner can normally be reached on M-Th:7:30-5:00; every other Friday: 7:30-4:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Shanon Foley can be reached on 571-272-0898. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

A handwritten signature in black ink that reads "Hung Dang" with a stylized flourish below it.

Hung Dang  
Patent Examiner

A handwritten signature in black ink that reads "Shanon A. Foley" in a cursive style.

SHANON A. FOLEY  
SUPERVISORY PATENT EXAMINER

SHANON A. FOLEY  
SUPERVISORY PATENT EXAMINER